Variable and sub-optimal responses to a choice problem are a persistent default mode

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Abstract

Choices can follow fixed, rational rules, but they can also rely on habits or guesses. In these experiments we ask healthy human participants to choose where to stand to throw a beanbag into one of two possible hoops (Experiment 1), and to choose where to fixate to detect a target that can appear in one of two possible locations (Experiment 2). In both cases, the optimal choice follows the same, simple logic: when targets are close together, standing at/fixating the midpoint is the best choice. When targets are far apart, standing/fixating close to one potential target will achieve better accuracy. People do not follow, or even approach, this optimal strategy, despite substantial potential benefits for performance. Two interventions were introduced to try and shift participants from sub-optimal, variable responses to following a fixed, rational rule. First, we put participants into circumstances in which the solution was obvious. After participants correctly solved the problem there, we immediately presented the slightly-less-obvious context. Second, we guided participants to make choices that followed an optimal strategy, and then removed the guidance and let them freely choose. Following both of these interventions, participants immediately returned to a variable, sub-optimal pattern of responding. We conclude that even when constructing and implementing rational decision rules is within reach, making variable and idiosyncratic responses to choice problems is a strong and persistent default mode. Borrowing concepts from classic animal learning studies, we speculate that this default may persist because choice variability can provide opportunities for reinforcement learning.

Keywords: problem-solving, decision, eye movements, variability, insight, training

Running head: Persistently sub-optimal choices

We are routinely faced with decisions about how to allocate limited resources to competing goals. A rational principle to apply when faced with such decisions would be to use the resources the tasks require to decide how many goals to try and accomplish. Given two relatively easy goals, it makes sense to try and accomplish both. But if the combined task difficulty of the two goals exceeds the available resources, focusing on accomplishing one is usually the better strategy. There are many examples of these “focus-or-divide” kinds of dilemmas in daily life such as deciding which home improvement projects to embark on with a limited budget. You may desire to refurbish both your kitchen and your bathroom but attempting to do both at once is expensive. Having one of these projects fully completed is surely more desirable than running out of money halfway through and being left with only partially useable rooms. However, if you have a large enough budget, it may be more convenient to get all the work done at once. Clarke and Hunt (2016) posed this decision dilemma in a range of different contexts: throwing beanbags into hoops, detecting low contrast targets in peripheral vision, and memorizing sequences of digits. In each version of the dilemma, participants first performed the task multiple times over a range of difficulty levels to establish their skill level and limitations. For example, in the beanbag task participants were asked to throw the beanbag into hoops over a wide range of distances. When the hoop is close, the task is trivially easy, and when it is far away, the task becomes challenging. In the second phase of the experiment, participants were then given two *potential* goals, and they had to decide whether to try and accomplish both or to focus on one or the other. Importantly, the actual goal is not revealed to the participant until after they have made their decision. For example, the participants’ goal is to throw a beanbag into one of two hoops, but they are not told which of the two hoops is the target until after they choose where to stand. They could choose a spot equidistant between the two hoops, to maximize their chances of getting the beanbag in the hoops irrespective of which one is ultimately the target. Alternatively, they could choose to stand next to one hoop or the other and hope it turns out to be the target. Which of these strategies will lead to a higher probability of success depends on the distance between the hoops. If they are close together, the best choice is to stand at the midpoint between them. However, if the hoops are far enough apart that the expected odds of success from a central position is less than 50%, standing near one of the two hoop leads to better success rates: in the long term, they will be 100% accurate if the chosen hoop is the target, and 0% accurate at the other hoop, averaging out to 50%.

Although this logic appears simple to understand and implement, at least in retrospect, the results of Clarke and Hunt (2016) demonstrate that in this and two other tasks (visual detection and memorizing strings of digits), naive participants not only fail to maximize their potential accuracy on the task, most of them do not modify their focus-divide choices with manipulations of task difficulty at all. Some participants consistently stick to a “central” strategy in which they always split their resources between both goals leading them to perform poorly when as difficulty increases. Others exhibit a large amount of trial-to-trial variability and under-perform when the goals are easy. Other research using analogous methods (Morvan and Maloney, 2012; Hesse et al., 2020; James et al., 2017; 2019; 2023) produced the same failure. The tentative explanation advanced by Clarke and Hunt (2016) is that in daily life, prioritization problems are usually difficult to solve because they involve more options and higher uncertainty. They suggest participants fail to recognize that the problem in this specific instance has a simple and easily implemented solution, so instead of trying to find a solution, participants default to heuristics, guesses, and trial-and-error strategies.

Recognizing that a problem has a tractable solution is a necessary first step for solving it, and this recognition could be considered an “insight”. Insight is associated with the “aha” moment that comes with solving some specific types of problems, classically known as *restructuring*, reflecting the idea that the underlying mechanism driving insight is a re-organisation that allows the same information to be represented in a different way. Weisberg (2006) argues that for a problem to be defined as an insight problem, there needs to be a discontinuity in the solution-finding process that is caused by restructuring, and this restructuring has to be necessary for a solution to be achieved. The focus-divide dilemma does not precisely meet this definition of an insight problem, because participants can complete each decision with or without insight about the optimal strategy. That is, a failure to recognise the optimal solution does not cause an impasse in the focus-divide dilemma. Instead, participants can proceed through the experimental trials, repeatedly making choices and observing the consequences of those choices, just with a lower rate of success than they would have if they restructured the problem and implemented the optimal solution. This series of choices made in the absence of insight provide an interesting view on how people approach decision-making when they have no clear rule to follow.

The well-known “dual-systems” models (e.g. Stanovich & West, 2000) suggest cognition can take a rapid, automatic route as well as a more deliberative, logic-based route. These systems represent a very broad categorical distinction in cognitive reasoning and are normally applied to judgment and problem solving contexts. A more germane theoretical framework for the simple, repeated choices participants make in our tasks may come from classic animal learning studies, which provide a similar distinction between choices made with and without insight[[1]](#footnote-1). Maier (1940) examined the extent to which animals have the capacity to spontaneously adjust how they respond to stimuli based on knowledge. He argued for three different categories for solving problems: variability, equivalence reactions, and reasoning. *Variability* in choice responses appears to be a functional tendency that is assumed to support trial-and-error learning, and stands in contrast with repetitive, inflexible behaviour that is a hallmark of cortical damage. Krechevsky (1937) argues that varying choices allows the organism to explore “means-end readiness”, or in other words, the set of available options and their consequences within a particular problem space. These options can be refined into hypotheses, and then a solution, through a gradual process of reinforcement learning. In *Equivalence Reactions*, hypothesis learning can be transferred to other problems that share features with the original, though usually with some hesitancy. This process permits acceleration of the learning process when circumstances are in some way familiar, though if wrongly applied, it can interfere with learning. *Reasoning* differs from learning altogether, and refers to the ability to spontaneously integrate information from outside the experimental context. More generally, reasoning refers to the ability to use abstract information to guide behaviour, a concept later referred to as *teleological control* by Dickinson (1985). Dickinson similarly drew a stark distinction between *habits*, which are consistent responses elicited by the immediate environment, and *actions*, which are controlled by the animal’s knowledge about consequences. Habits will always follow from the same conditions, but actions allow an organism to change the choices it makes given what the organism knows. This dichotomy has held up well in the literature, with accruing evidence that actions and habits are subserved by distinct neural systems (e.g. O’Doherty, Cockburn & Pauli, 2017).

Viewing the series of choices in a focus-divide experiment from a learning perspective, variability in choice observed in this task appears to be the default behaviour most participants engage in when they are not engaging in reasoning (e.g., see Clarke & Hunt, 2016, Figure 2). This is consistent with a trial-and-error strategy. In contrast, the responses of informed participants (see Appendix A) are based on reasoning. Compared with the choices of naive participants, implementing the optimal strategy leads to choices that are more successful overall, but also less variable. With these two extremes as points of comparison, our question in this study is about whether and how we can transition people from variability to reasoning. One possibility is that equivalence reactions could provide a transition into spontaneous integration; that is, applying the correct solution in one circumstance could lead to its application in circumstances with similar properties. This generalization may prompt participants to restructure the successful choices as an abstract decision rule. On the other hand, applying a decision rule carries some risks. If applied to the wrong circumstances, the rule would not only lead to suboptimal outcomes, it would also restrict the variability of responses that is the basis for learning. Given these risks, taking a conservative approach to avoid over-generalization may be adaptive in the long run, leading to a high threshold of similarity needed for a hypothesis, or a strategy, to transfer across contexts. In two experiments, we explore this threshold by creating circumstances in which participants carry out the optimal strategy in one context, and then provide a similar (Experiment 1) and the same (Experiment 2) context to observe whether the strategy persists, or if participants return to variability. The results shed light on our readiness to apply logical rules to new tasks.

**Experiment 1A: Transfer between tasks**

Clarke and Hunt (2016) demonstrated that participants fail to modify their focus-divide choices to take task difficulty into account. The same pattern of variable, sub-optimal behavior was exhibited across three diverse tasks: visual detection, throwing, and memorizing strings of digits. However, in a fourth experiment, Clarke and Hunt (2016) simplified the participants’ task to a comparable, but trivial decision and observed uniformly optimal behavior. In this simplified version of the task participants were presented with a long table upon which three pairs of colored beanbags had been placed. The red beanbags were both placed close to another near the midpoint of the table, while the blue beanbags were placed at either end of the table. (The two green beanbags were placed at intermediate position between the blue and red bags.) Three chairs were placed by the table: one on the left, one central and one to the right. (See Figure 1 for a photograph of this setup.) On each trial, a colour was selected at random and participants were asked to sit at one of the three chairs. After they chose a chair, they were told which of the two beanbags (left or right) they would need to pick up. Clearly, if the beanbags are within arm’s reach from the central chair, the participant should sit there. If they are too far apart to reach from the center, the participant should select a chair close to one of the beanbags, ensuring they can at least reach that one. Clarke and Hunt (2016) found that all participants consistently used the same, optimal strategy in this version of the dilemma.

In this experiment we ask whether participants who have just performed the reaching task optimally would be primed to recognize the optimal solution in the throwing task, transferring the logic guiding their decisions from one task context to another. If so, the decisions of participants in the throwing task should be close to optimal if they just carried out optimal decisions in the reaching version of the task. The decision behaviour of this primed group was compared to a control group, and the experimenter who ran the throwing part of the session was blind to the group assignment of participants.

**Methods**

**Participants**

Thirty-two students from the University of Aberdeen were recruited either via ORSEE (Online Recruitment System for Economic Experiments) or SONA (Sona Systems Research Management System). Participants had no prior knowledge of the hypothesis of the experiment. They were randomly assigned to either the primed group (those who did the reaching task first and then the throwing task) or the control group (those who did only the throwing task), with 16 in each group. There was a £5 remuneration given to the ORSEE participants, and appropriate academic credits awarded to the SONA participants. In this and all subsequent experiments, the experimental protocol was reviewed and approved by the Aberdeen Psychology Ethics Committee, and participants all gave signed, informed consent to participate.

**Justification of sample size**

This experiment is designed to test whether experience with the reaching task will lead participants to perform optimally in the throwing task. From our previous work, we know that when participants perform optimally (i.e., when completing the reaching task) we see zero variance: all participants behave in an identical manner consistently following the optimal decision rule from trial to trial. In other versions of the focus-divide dilemma, we see large deviations from this optimal behaviour with excessive trial-to-trial variability within subjects, and large differences from one participant to the next. As such, our hypothesized effect is extremely large and, at least in the first instance, only a small number of participants is required.

**Materials and Procedure**

Two experimenters conducted this study. The first experimenter carried out the reaching task (detailed below) with half of the participants. The other half remained with the first experimenter for five to ten minutes to ensure that the second experimenter would not be able to tell if the participant had carried out the reaching task based on their time of arrival. The second experimenter carried out the throwing task (described below) with both groups of participants, and was blind to which condition they were in.

*Reaching Task*: Six PVC beanbags of three colours (two red, two yellow and two blue) were placed on a long table equidistant from each other (Figure 1). At the centre of the table were the red beanbags, halfway over each side of the table were the yellow beanbags (one on each side), and at the far end were the blue beanbags (one on each end). Three chairs were positioned alongside the table: one on each end (opposite the blue beanbags) and one in the centre (opposite the red beanbags). In order to make sure participants were aware of their own reaching span, they were first asked to sit on the chair positioned at the centre of the table. With their back always touching the chair they were asked whether they could reach and touch the red, green and blue beanbags individually. They were then asked to stand up and move away from the table before starting the main part of the experiment. They were told which colour beanbag they would be asked to pick up, and that they had to choose a chair to sit on, at which point they would be told which of the two beanbags of that colour was the target. This was repeated for each colour. The order of the colour-location combination was the same for each participant starting from the middle beanbag and working towards the sides. Once all three colours had been tested, they were given the beanbags and instructed to go to the other experimenter for the second part of the experiment.



Figure 1. The reaching and throwing task setups. Left: The participant selects a chair from which to pick up one of two beanbags of a specified colour on a long table. Right: Two each of red, yellow, and blue hoops were taped down in an area outside the building in which the reaching task took place.

*Throwing task*: The experiment was an abbreviated version of the throwing task used in Clarke and Hunt (2016). An outdoor, sheltered area of concrete slabs just outside the psychology building (Figure 1) was used because the slabs (measuring 0.46 x 0.61m) were useful for marking and recording hoop placement and standing positions, respectively. Six hoops with a diameter of 0.40m were taped down in a row with three slabs’ distance between them. The red hoops were 4.60m apart (10 slabs), the yellow hoops were 8.28m apart (18 slabs) and the blue hoops were 11.96m apart (26 slabs). These hoop separations were selected on the basis of throwing performance measured in several previous experiments; participants should stand in the center for the closest distance, and next to one of the hoops for the farthest distance, to achieve optimal performance. The colour of the beanbag the participant was handed on each trial specified which pair of hoops were potential targets on that trial. Participants took the beanbag and chose a place to stand. After choosing their standing position, they were told which hoop was the target (which, as they were informed, was determined based on a pre-generated random list), and they then attempted to get the beanbag into that target hoop. The experimenter stood on the grass to the side and handed beanbags to the participant in random order of colours (pre-specified and different for each participant. Standing position and throwing success or failure were recorded. There were 15 trials for each distance (45 total).

**Analysis**

All data processing, analysis and visualisation was carried out with R (vX.XX) with the tidyverse packages (x.xx) cite(). Statistical models where fit with brms and cmdstanr. As our normalised standing position measure is skewed, non-negative, and zero-inflated we will use a multi-level hurdle-lognormal model to analyse the differences in decision between the near v far hoops and for the primed and control group. We used weakly informative N(0,1) priors and maximal random effect structures for all models. Full code and model specifications can be found ….

**Results**

All participants who carried out the reaching task did so optimally. That is, when they were asked to reach for one of the two red or green beanbags, they sat in the central chair. For the largest distance between the beanbags, they chose either the left or right side chair, resulting in a 50% success rate. No variance, either within, or between participants was observed. This replicated the behaviour observed by Clarke & Hunt (2016).

***Throwing task choice***

Standing position choices in the throwing task are shown in Figure 2. They key takeaway from these data is that there is a large amount of variance from one participant to the next. Around a third of participants exhibit a strong central tendency, sticking with the mid point between the hoops irrespective of the distance between them. The rest of the participants vary their behavior from trial to trial. It is clear from Figure 2 that participants fail to adopt this strategy, replicating the striking findings of Clarke & Hunt (2016).

The optimal solution to this problem would give standing position = 0 for the closest hoop distance and standing position = 1 for the furthest (note that standing position has been normalized over hoop separations, with 1 being the distance from the center to the hoop). We were interested in whether participants would be able to learn from their optimal performance in the reaching task, to adopt a more optimal strategy in the throwing task, but it is clear from the results from the primed group that participants fail to do so. We can also see that no participant managed to execute the optimal strategy in this version of the focus-divide dilemma. This allows us to conclude that experience of solving one form of the focus-divide dilemma (the reaching task) does not lead to optimal behavior in another.



*Figure 2. Results for each individual in Experiment 1a. The top two rows are the control group, and the bottom two rows were primed by making optimal decisions in the reaching task before completing the throwing task. Each dot is a trial and each facet of the plot is a single participant. Optimal participants would stand close to 0 at the smallest value of delta and close to 1 at the largest (see Appendix A). By this definition, no individual, in either group, chose optimal standing positions.*

Although none of the individuals in either group could be described as optimal, a close look at Figure 2 suggests that a few more members of the primed group modified their standing position with distance in an appropriate direction than did so in the unprimed group. To explore whether this difference between conditions is robust, we fit a multi-level hurdle-lognormal model to the data. This allows us to model behaviour in the focus-divide dilemma as an initial decision as to stand at the midpoint, and if not, how far to stand. The results of this are shown in Figure 3. We can see that the control group are equally likely stand in the central position irrespective of hoop separation distance (95% HPDI of [0.4, 0.79] for the near hoops and [0.25, 0.72] for the far hoops). The primed group, while not following the optimal strategy, do appear to be more likely stand in the centre when the hoops are close to one another compared to when they are far (95% HPDI of [0.64, 0.95] and [0.07, 0.47] respectively). Interestingly, the differences in second part of the model – how far from the centre to stand – are much more mixed. Both groups show little evidence for changing their choices about where to stand based on the hoop separation distance (for the control group, [0.35, 0.63] for near hoops, [0.16, 0.44] for the far hoops, compared with [0.30, 0.73] and [0.35, 0.55] for the primed group).



*Figure 3. Summary of the fixed effects from the Bayesian hurdle-lognormal model. The coloured bands indicate 50%, 80% and 95% highest posterior continuous intervals for (left) the probability of selecting a central standing position; (right) average distance from the centre, on trials in which participants chose not to stand at the centre.*

**Performance**

Accuracy for the three hoop separations in Experiment 1a are shown in Figure 3. We can only simulate optimal accuracy with confidence for the far condition, by assuming that participants will be accurate on average 50% of the time if they stand at one hoop. In this figure, optimal accuracy has been simulated for 32 participants doing 15 trials with a probability of success of .5. It is clear that both the primed and control groups fall short of this standard. Because we did not include a session to measure each individual’s throwing accuracy in this experiment, we cannot estimate what their optimal accuracy would have been for the other two distances.

**Discussion**

While the results of Experiment 1A show that our attempts to prime participants to optimally solve the focus-divide dilemma did not work, we did find some tentative evidence suggesting that the intervention lead (some) participants in the primed group to take difficulty into account when deciding where to position themselves. To order to investigate whether this effect is robust we ran two conceptual replications of this experiment.

**Experiment 1B & 1C: Replications**

The results of experiment 1A suggest that experience in optimally completing one version of the focus-divide dilemma does not lead to insight and optimal behavior in other versions. To test whether this is a robust failure, we carried out two small conceptual replications. Experiment 1B is similar to 1A, but with a second alternative intervention based on completing logical problems based on the focus-divide dilemma. In experiment 1c, we hoped to increase the chances of participants obtaining insight into the shared solution space for the reaching and throwing task by getting all participants to complete the throwing task twice, once before and once after the intervention. The results of both experiments are inline with the original results of experiment 1A.

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**Methods**

**Participants**

*Experiment 1B*: 24 participants (17 female, mean age 21) from the University of Essex were randomly assigned to one of three groups: a reaching task group (nine participants), a maths group (eight participants), and a logic puzzle group (seven participants).

*Experiment 1C:* 20 participants (11 female, mean age, 22.8) from the University of Aberdeen were randomly assigned to either complete the reaching task or the control condition (10 in each).

**Justification of sample size**

Same rationale as Experiment 1A.

**Materials and Procedure**

The throwing and reaching task components of Experiments 1b and 1c were similar to the setup of Experiment 1a, although with some minor differences due to being carried out at different sites. These details are given in XXXX appendix B or supp mat?

*Experiment 1B:* In this experiment we introduce a new control condition. Rather than engage in small talk as in Experiment 1A, here participants completed ten simple arithmetic questions (i.e., 27-12 = ). We also introduced a second experimental condition in which some participants completed five logic problems, the first two of which were inspired by the same decision-making problem used in the throwing task:

*You find out that you have an exam tomorrow. You have [10 HOURS] to revise and there will be two questions where you must only answer one. You know what the two topics that the questions will be on but you are not confident about either. Note down how you will divide your time in revision.*

A second version of the same question substituted “10 minutes” for “10 hours”. These were supplemented with three additional, unrelated logic puzzles. Participants in both the logic and maths condition had ten minutes to complete the questions.

*Experiment 1C: Participants carried out this experiment over two sessions, approximately one week apart. The first session had two parts, described below: accuracy measurement, and decision trials. In the second session, participants were randomly assigned to two groups. Half the participants carried out the table task, while the other (control) half were given a Sudoku to complete. Both groups then completed the decision trials again, and then a session of accuracy measurement, so that improvements in throwing ability over the course of the experiment could be accounted for in calculating optimal standing position in the second session. Finally, participants in the Sudoku group completed the table task at the end of the experiment, to confirm that they were indeed able to successfully execute the optimal strategy.*

*Accuracy measurement. In the first session, participants stood in the middle of the area and threw 12 beanbags for each of four different hoop distances {1.38, 3.22, 5.06, 6.90m} in increasing order. The beanbags were cleared out of the way after each trial. They then threw to four new distances {2.30, 4.12, 5.98, 8.74} in the opposite direction, for a total of 96 trials.*

*Decision trials. Participants then carried out a block of the throwing task, as detailed in experiment one, but this time with four, rather than three separations {4.6, 8.28, 11.96, 15.64}. Participants carried out six trials for each distance, in a random order.*

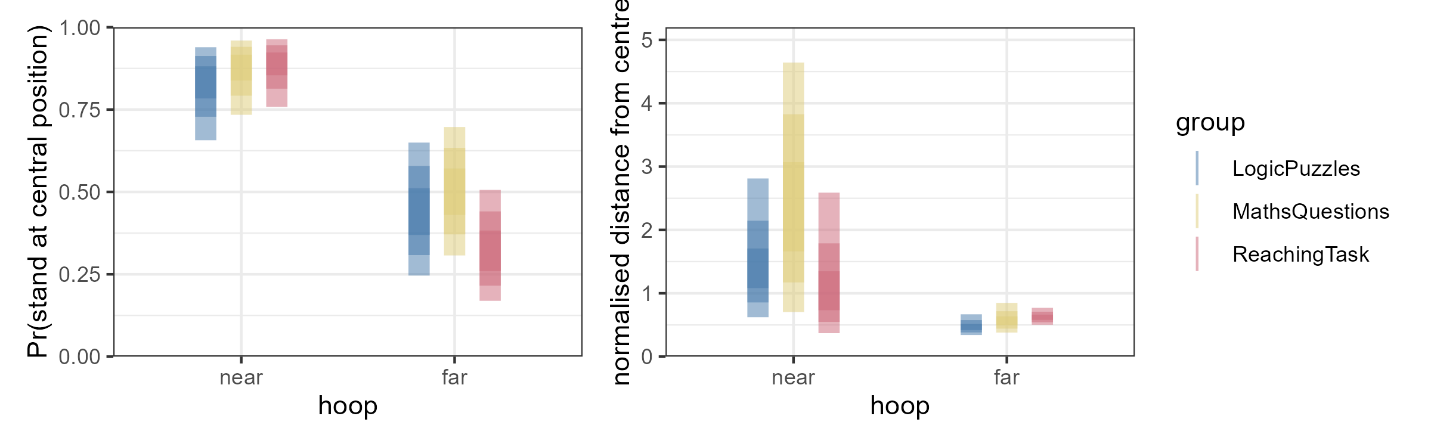
*Table and control tasks. The table task was the same as that used in Experiment 1. The control task was a Sodoku puzzle, which participants worked on for 5 minutes.*

**Analysis**

These two experiments will be analysed in the same manner as Experiment 1A. While each experiment will be analysed independently we will present the results of the two models in the same figure to ease comparisons.

**Results**

These experiments were analyzed using the same Bayesian hurdle-lognormal model as before. The model fit is summarized in Figure X. We can see that there is a strong, consistent tendency to stand at the central position when the hoops are close to one another. As such, the estimates for the non-central standing positions are very wide, as there are very examples of this behavior. When the hoops are far apart, people still stand in the central position around of the time (95% HPDIs of [], [] and [] for the three groups respectively). In the trials in which people do move away from the central location, they fail to move all the way to one of the two target hoops. The optimal normalised standing position is 1, while we observer 95% HPDIs of [], [] and [] for our three groups.



*Figure 3. Summary of the fixed effects from the Bayesian hurdle-lognormal model. The coloured bands indicate 50%, 80% and 95% highest posterior continuous intervals for (left) the probability of selecting a central standing position; (right) average distance from the centre, on trials in which participants chose not to stand at the centre.*

**Experiment 2: Guiding optimal eye movements**

In Experiment 1, we did not find evidence that optimal choices during the reaching task transferred readily to influence standing position choices in the throwing task. People appear to have solved the reaching task choice problem but did not generalize this behaviour to new circumstances. One plausible explanation is the change in context. In everyday circumstances, one would not expect the same logic to apply to choosing a seat at a table as to choosing where to stand to make an accurate throw. Thus, although the group who were exposed to the reaching task understood and applied the correct logic, the change in circumstances (inside to outside, reaching to throwing) was too different for them to apply the same logic in the new situation, so they returned to solving the problem using variability.

In the next experiment, we therefore tested transfer of decision strategies without a change in the task. Specifically, we provided guidance that led to the execution of optimal decisions during the first session. We then removed that guidance in the second session, and let participants freely choose. Our question is whether consistently executing optimal responses in the first session will lead to improvements in performance in the same choice task once the guidance has been removed. To test this, participants completed a training block where they were cued to fixate a particular box. The target would only appear after they had fixated that box. The cue directed the participants’ fixations to the location that would optimize their detection accuracy, given their own visual acuity as measured in the preceding sensitivity mapping phase. After completing this session, they completed a session where they freely choose which box to fixate, just as in the original experiment. The key question is whether guidance in the form of the cues directing the eyes to the optimal location will result in more subsequent optimal decisions in the free-choice task relative to a control group who was given an equivalent amount of practice, but no guidance.

Previous research leads to mixed predictions about whether this outcome is likely. On the one hand, there is evidence that solutions to insight problems can be provoked by guiding the eyes to relevant locations (Grant and Spivey, 2003) or in a pattern that is consistent with the solution (Thomas and Lleras, 2008). This suggests a pattern of behaviour can lead participants to restructure information in a way that is consistent with that behaviour. On the other hand, our results from Experiment 1 suggest people do not readily recognize and apply an optimal solution to this decision problem. Consistent with this, Weisberg, DiCamillo and Phillips (1978) attempted to prime participants to the correct solution for an insight problem, and found that participants could only use priming to benefit problem solving when they had been explicitly told the prime was relevant. Without instruction to use the primes, there was no benefit associated with them. In our experiment, being required to repeatedly perform optimal eye movements could cause participants to persist in these eye movements when given the opportunity to freely choose. If so, this trained optimal behaviour may, or may not, be accompanied by a more abstract insight about the optimal strategy. This experiment’s methods and analysis plan were pre-registered on the Open Science Framework <https://osf.io/yan5k/>.

**Methods**

*Participants.* Twenty-four participants (mean age 21, range 18-26, 19 female, 23 right-handed) were recruited from the University of Aberdeen community. All participants were unaware of the purpose of the experiment and had normal or corrected to-normal vision. Participants were offered either course credits (for undergraduate psychology students) or a £15 reimbursement for their participation. This study was reviewed and approved by the School of Psychology ethics committee. All participants provided informed consent.

*Setup.* The stimuli and equipment were similar to those used by Clarke and Hunt (2016, Experiment 1). All stimuli were presented against a uniform grey background (50% white). The square boxes had an edge length of 1.0˚ visual angle and were lighter than the background (75% white). The target was a small, light grey dot (80% white) that appeared for 500ms either in the top (dot-up configuration) or the bottom (dot-down configuration) of one of the boxes. The “page-up” and “page-down” keys of a standard keyboard were used as response keys, matching dot-up to the page-up key, and dot-down to the page-down key. If the participant blinked or if the eyes moved more than 1° while the target was on, the trial was terminated.

The experiment was executed in Matlab R2009b on a PowerMac running Apple Macintosh Pro OS X (version 10.6.4) software using Psychtoolbox (Brainard, 1997; Pelli, 1997; Kleiner et al, 2007) and EyelinkToolbox functions (Cornelissen, Peters & Palmer, 2002). Stimuli were presented on a 24–inch Sony Trimaster EL OLED monitor with a resolution of 1080p and a refresh rate of 60 Hz. A chin rest, with forehead bar, assured a viewing distance of 54cm. The right eye was tracked using a desktop-mounted EyeLink 1000 (version 4.594) (SR Research ltd, Mississauga, Ontario, Canada) to record eye position at 1000 Hz. A 9-point calibration sequence was used. The calibration and validation process was repeated every time the participant moved away from the chinrest. The process was also triggered if the participant broke fixation during the stimulus presentation five times in a row, or ten times cumulatively for each block of trials. The experiment took place in a dimly-lit, quiet room.

*Experimental Design and Procedure.* The experiment took place over two sessions. Session 1 lasted approximately 1 hour 30 minutes, and Session 2 lasted approximately 1 hour. There were three phases (see Figure 4): an Acuity Mapping Phase’, a ‘Directed Eye Movement Phase’ and a ‘Decision Phase’. Participants were randomly assigned to one of two groups: the control group completed the ‘Acuity Mapping Phase’ and the ‘Decision Phase’ in Session 1, and the primed group completed the ‘Acuity Mapping Phase’ and the ‘Directed Eye Movement Phase’ in Session 1. In Session 2, both groups completed the same ‘Decision Phase’. All participants were instructed to respond to the target as accurately as possible. No feedback was provided. To ensure that participants understood the task and that a psychometric curve could be fitted to the performance data successfully, participants needed to score 80% or above in the closest distance in the acuity mapping phase to take part in both sessions of the experiment and to be included in data analysis. Two participants were excluded on this basis and replaced (to retain a total of 12 in each group). The three phases are described below.

*Acuity mapping phase.* During four blocks of 96 trials each (384 trials total), boxes were presented in 8 different distances: 2.7°, 3.9°, 5.2°, 6.8°, 8.4°, 10.1°, 11.4° or 12.5° between the centrally presented fixation mark and the centre of each box. Each distance was presented 12 times per block. The order of distances was randomized. All trials per distance were presented in succession. Each trial started with the presentation of a black fixation cross in the centre of the screen. Participants were asked to initiate the trial sequence by key press while fixating the cross intersection (drift check). After a stable fixation of 700 ms (within a 1˚ radius circle around the cross) two equidistant, grey boxes were presented, one on the left and one on the right of the fixation cross, with the target present in one of them. After 500 ms of stimulus presentation a blank (grey) screen appeared, indicating that a response was expected. A red screen was displayed and the trial terminated whenever participants looked more than 1˚ from fixation during the trial interval (i.e. starting from the key press at the start of the trial, ending with the onset of the response screen).

*Decision phase.* The Decision phase consisted of 4 blocks. During each block, boxes were presented in 9 different distances (measured from the centre of the screen to centre of the box). Two of the distances were constant among participants (8°, 18°). The participants’ individual switch-point (E0) was the basis for the remaining 7 distances (E0 [-3°, -2°, -1°, ±0°, +1°, +2°, +3°]). The switch-point was estimated from the acuity mapping data as described by Morvan and Maloney (2012). Each distance was presented 10 times per block (90 trials per block, total 360 trials). Trial order was randomized. Participants initiated each trial with a key press while fixating a black fixation cross to initiate a drift check. The cross was positioned 4° above the horizontal meridian with an offset of half the (to-be-presented) box distance either to the left or to the right. After 700 ms of stable fixation, three boxes were presented along the horizontal meridian. The middle box always remained centred horizontally, and the left and right boxes were equidistant from the central box on either side. The fixation cross was positioned equally often between either the centre and left box or the centre and right box. As we were not interested in the choice between the right and the left box, but between the centre and side-boxes, this equates the distance from the fixation to each of these two locations. Participants were instructed to make a saccade towards the box of their choice. Once fixation was stable inside one of the boxes for 50ms, the target configuration was presented for 500ms in either the left or the right box (never the centre). Figure 4 illustrates the target presentation of a typical trial of the decision phase.



Figure 4. Left: Example illustration of a trial view from the decision phase of Experiment 2. The target could appear in the left or right box. Participants responded to indicate whether the dot appeared in the upper or lower portion of the box. This example illustrates the target in the upper portion of the left box. Right: A schematic to illustrate the organization of the experimental groups and sessions.

*Directed eye movement phase.* This phase was similar to the decision phase, with the difference that participants were not given a choice over which of the three presented boxes they would fixate. Instead, the three boxes were presented alongside the fixation cross at the beginning of the trial, and after 700ms of stable fixation of the fixation cross, one of the boxes ‘blinked’ (disappeared for 400 ms and then reappeared) and participants were instructed to execute a saccade to this box and remain fixated there until the target appeared. This phase consisted of 4 blocks of 10 trials for each of the 9 distances used (90 trials per block, total 360 trials). The same distances as in the decision phase were used. Which of the boxes blinked was dependent on the box distance and the participants’ individual switch-point. For distances equal or smaller than the switch-point distance, the central box blinked. For all other distances, randomly either the left or the right side-box blinked.

**Results**

The main research question in Experiment 2 was whether optimal eye movement choices can be trained. To address this question, we calculated a difference score between each participant’s expected target discrimination accuracy (under an optimal model) and their actual observed accuracy, and compared the difference scores for the decision phase. This gives a measure of how close to optimal each participant is. During the first session, participants who were guided to make optimal choices were, of course, optimal (i.e. had a difference score around 0). This value was lower for the instructed participants in Session 1 than for the controls who received no guidance (). In other words, being guided to optimal choices about which box to fixate does indeed significantly improve detection accuracy relative to when these choices are freely made (see the Verification Phase at the end of the experiment reported by Morvan and Maloney, 2012, for similar evidence that optimal fixations significantly improve detection performance). For the control group, accuracy is nominally closer to optimal accuracy in Session 2 compared to Session 1, presumably due to practice, but this is not significant ().

To address the main question of whether training improves performance, we can look at Session 2 performance. The hypothesis was that participants who initially were guided to make eye movements that maximize their chance of correct target discrimination would continue to perform optimally when freely choosing where to fixate in Session 2, and should therefore differ from optimal less than the control group. However, a t-test comparing how much the two groups differed from optimal in Session 2 was not significant ). A full Bayesian analysis of the accuracy data is reported in the supplementary material.

The distribution of accuracy relative to optimal accuracy for each participant can be seen in the scatterplots in Figure 5. In Session 1, the primed group accuracy even slightly exceeds optimal accuracy due to chance, and possibly also because the estimate of optimal is based on the sensitivity mapping phase, which came first in Session 1; accuracy may have improved with practice, leading to better detection in the decision phase. The control group falls below the line. In the second session, where both groups freely choose which box to fixate, there is no difference between the groups. Figure 6 shows the choice behaviour of the two groups in Session 2 (see Supplementary Material for a more detailed figure, showing each participant relative to their own switch point for both sessions). There is no clear difference between the groups in terms of the distribution of choices between the center and side boxes. None of the 24 participants in this experiment could be described as optimal when allowed to freely choose which box to fixate, even after 360 trials of being guided to fixate using an optimal strategy.



Figure 5. Actual and optimal accuracy for each participant in the first and second session of Experiment 3. The primed group were guided to make optimal fixations in the first session, and both groups made free decisions about where to fixate in the second session. Actual accuracy slightly out-performs optimal accuracy, likely because discrimination performance improved from the initial visual sensitivity phase on (on which optimal estimates are based) to the decision phase that followed it. There is no difference between groups in the second session.

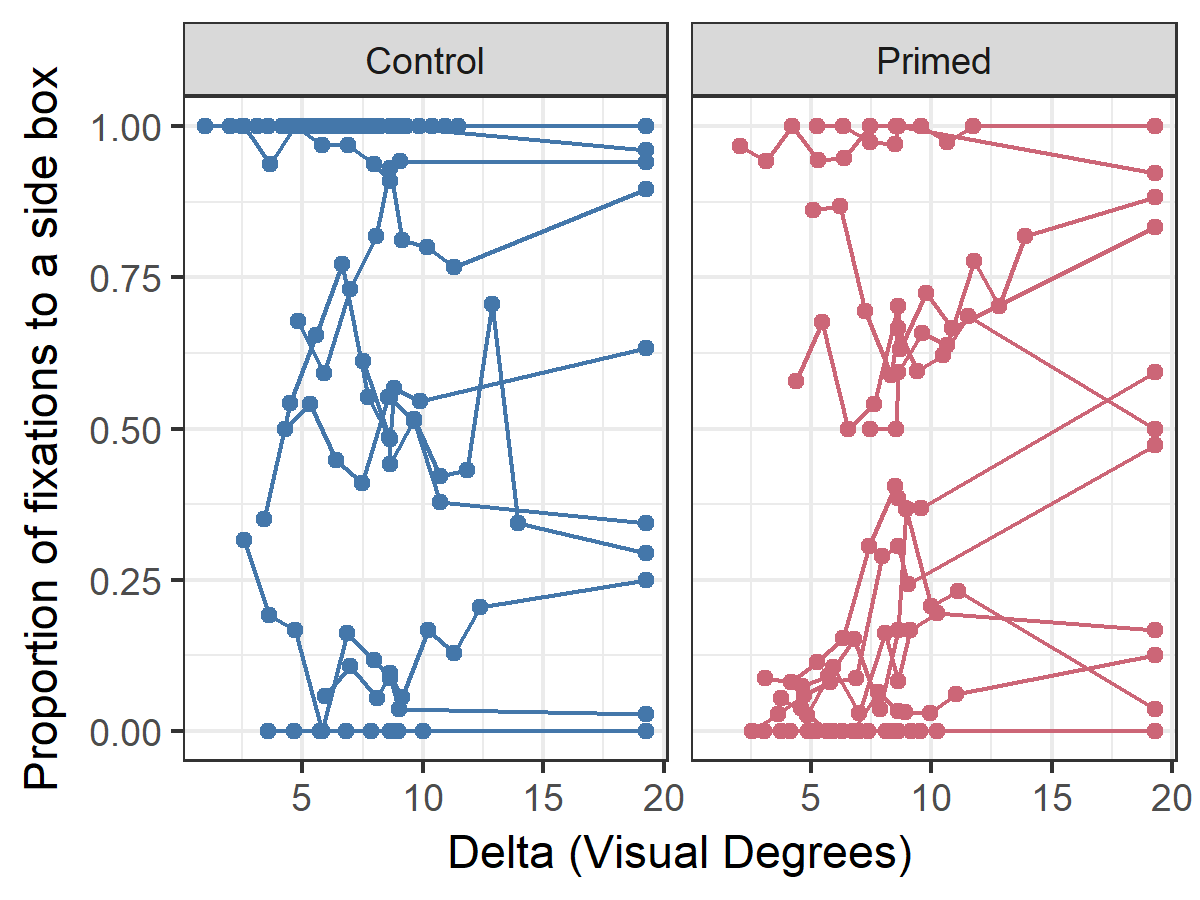


Figure 6. The proportion of fixations made to the side boxes in the second session, separately for the control and primed groups. Each line is a participant. Full plots for the first and second session, with switch points for each participant, are included in the Supplementary Information.

**General Discussion**

Add in a longer version of this somewhere: “Suggesting the limitation comes from a failure to recognize the solution rather than a failure to execute it effectively, Appendix A presents data showing that non-naive participants can implement the optimal solution easily.”

The experiments clearly demonstrate that guiding naive participants to perform optimally did not help them to make better decisions when the guidance was removed. In these experiments, optimal performance is straightforward to attain when the participant has an explicit understanding of the domain-general principle that should guide the decision (see Appendix A). Even though this principle is intuitive once known, people do not appear to spontaneously construct or exploit this principle, nor do they apply it more broadly even when they seem to implement the principle in another context (in Experiment 1) or have experienced the benefits of using it (in Experiment 2). Instead, participants appear to default to relatively variable, sub-optimal patterns of responding.

The experiments were designed to reveal how readily participants recognize and adopt rational decision rules. Based on the results, we conclude a variable trial-and-error approach to making choices is a stable default. While the majority of participants had some degree of bias towards one choice option or another, there was also a large amount of variability from trial to trial under the same conditions. A small minority of participants responded the same way on nearly every trial; 4/32 participants in Experiment 1 always stood in the center to throw, and 2 out of 24 participants in Experiment 2 always chose to fixate the center box. This could reflect a fixed decision rule, but not one which maximises success in the task. Effort minimization (Irons and Leber, 2016), stress (Shors and Dryver, 1992), and distraction (e.g. Wolford, Newman, Miller & Wig, 2004) could contribute to rigid or stereotyped behaviour. The rigid sub-optimal behaviour of a minority of participants in our sample is also consistent with the concept of *habits* as defined by Dickinson (1985): In rats, a response that has been consistently rewarded can, over time, lead to perseverative responses after the behaviour ceases to be reinforced. An intriguing study by DeWit, Kindt, Knot et al (2018), however, failed to induce habits in humans under similar protocols to those used previously in rats. In other words, people were highly sensitive to changes in the contingencies between responses and their reward or punishment, even after over-training with the original contingencies. This finding, together with the far more common tendency towards variability observed in most of our participants, suggests intriguing relationships between variability in behaviour and flexibility in responding to environmental change that remain to be explored in humans.

The results of Experiment 2 may initially seem to be at odds with previous research finding that eye guidance can guide thought (Grant and Spivey, 2003; Thomas and Lleras, 2009). Similar conclusions have been drawn from studies showing that gesturing can influence strategies in problem-solving (e.g. Alibali, Spencer, Knox and Kita, 2011). These results all suggest that motor behaviour can translate to abstract thought to promote solution-finding in difficult problems. Based on these results, one might have expected that performing responses consistent with the optimal solution would have prompted at least some of our participants to recognize the solution and apply it in future situations, but this did not occur. As noted in the introduction, contemporary research on problem-solving has tended to focus on relatively complex, abstract problems with a single correct solution that is easily recognized when achieved. These kinds of problems usually require reason to be solved; trial-and-error learning of the solution is generally not an efficient approach. Our results do not contradict the notion that action can guide people towards solving these more complex and abstract kinds of problems, because the problem we have presented in these experiments, like most of the problems we encounter in our daily lives, does not lead to an impasse if it is not “solved”, but instead leads to less efficient behaviour if it is not solved optimally. Indeed, the solutions to the routine dilemmas of a typical day (what to eat, what to wear, what to say) are highly context-dependent and difficult to predict, and most people would agree it is best not to “over-think” these. For example, we move our eyes around three times each second, and each of these movements can be thought of as a choice -- a resolution to a mini-problem of where the most useful and interesting visual information is coming from at the moment. Solving this problem optimally, although possible, requires complex computations even in a highly simplified and predictable environment (Najemnik and Geisler, 2008). In a complex and unpredictable environment, a more efficient approach to these kinds of “small” problems may be to solve them with variability (Krechevsky, 1937), allowing the constraints of the immediate environment to shape the set of viable choices and randomly varying within that set to allow for flexibility and learning to occur. Consistent with this notion, a stochastic model of fixation selection during visual search, which selects fixations at random from a population of common saccade vectors, describes human search behaviour reasonably well (Clarke, Green, Chantler & Hunt, 2016; Clarke, Stainer, Tatler & Hunt, 2017). A similar process of random selecting from a population of possible responses may guide other forms of decision, preventing stereotyped behaviour while avoiding over-thinking of minor choices.

Applying a consistent decision rule based on knowledge will restrict choice variability. Restricting this variability may stunt the potential for learning to shape behaviour in a way that flexibly adapts to dynamic and unpredictable environments. If applying reason comes at a cost to potential learning, a conservative use of reason might be warranted, especially in solving the simple, repeated problems of daily life. We are using Maier’s (1940) definitions of both variability and learning in this context, where variability is an approach to solving a problem (such as a rat choosing a direction in a maze) that allows the animal to define and refine the array of choice options and their consequences, and learning is the tendency to repeat choices that lead to positive outcomes. In our experiments, the variable but sub-optimal choices of our participants over the series of trials is consistent with a trial-and-error approach, and the failure to spontaneously transfer or maintain an optimal strategy suggests this trial-and-error approach is a strong and persistent default mode. Although this explanation for our results is speculative, a similar tradeoff between learning and logic has been previously proposed in the context of probability matching. When asked to repeatedly guess which of two events will occur on each trial, participants tend to be sensitive to the probability of these events. That is, an event that occurs with a probability of .8 will be guessed more often than one with a probability of .2, In fact, participants tend to match the ratio of their guesses to the probability of the events. Of course, this is a sub-optimal strategy for maximizing guessing accuracy, resulting in an overall success rate (in this example) of .68, compared to the .8 participants could attain if they guessed the event with the higher probability on every trial. This tendency towards probability matching has been interpreted as a cognitive limitation (e.g. West and Stanovich, 2003). However, Gaissmaier and Schooler (2008) noted that maximizing by only ever guessing the more likely event can become sub-optimal if the sequence is not actually random, that is, if there are patterns in the sequence that can be discovered and exploited. Indeed, participants who probability-matched (in a random sequence block) were more likely to detect and exploit a pattern in the sequence when it was introduced in a separate block. This is consistent with the notion that applying a constant rule (e.g., always guessing the more likely event) can optimize accuracy as long as the critical conditions persist (i.e. that the sequence stays random, and the likely event continues to be more likely). In a dynamic natural environment, random sequences are rare, and patterns can emerge and change. The cost of applying a consistent response rule is that the behaviours that could be reinforced by the current conditions will not be executed, and thus will never be reinforced and repeated.

The variable responses we observed in the choice behaviour of the participants in our experiments could in part be inherent to the participant; under conditions of choice uncertainty, there may be an element of random selection between options to avoid becoming fixed in a particular stimulus-response pattern and missing opportunities for learning, as described above. Some variability may also come from trial-to-trial variations in the immediate context. Summerfield and Tsetsos (2015) argue that inefficient economic decisions can be accounted for in the context of *efficient coding:* neural representations of different choice options emphasize the features that are most diagnostic in the immediate context. In other words, the relative appeal of different choices will vary according to the local context, as a result of a mechanism that facilitates their differentiation across a wide range of potential circumstances. Being sensitive to idiosyncratic changes in the environment can lead to behaviour that is sub-optimal in terms of maximizing potential gains and minimizing risk and energy expenditure in an environment that, over the long term, is stable. Many so-called “sub-optimal” choices, like probability matching, could result from over-sensitivity to short-term, idiosyncratic variations in local context. Similarly, opting for larger reward later over a smaller one now is only optimal if the environment is stable. However, environments are rarely stable, and incorrectly assuming they are could lead to disastrous outcomes, such as giving up a short-term sure reward in favour of a longer-term uncertain reward that never comes to fruition. Kolling, Wittman and Rushworth (2014), for example, showed that participants can strategically shift from being risk-averse to risk-prone depending on how large the payoffs are and how many chances they have remaining to achieve a goal. In this case, as in probability matching, being sensitive to changes in the local context yields better outcomes than a consistently applied principle that incorrectly assumes a stable world.

In conclusion, we have found a simple and intuitive decision rule is not recognized or adopted by most participants. Choices are governed by a complex set of individual and contextual factors and their interaction, even for seemingly simple decisions such as a rat deciding which way to turn at a junction point in a maze (e.g. Tolman, 1938). Nonetheless, based on the overall pattern of behaviour of the naive participants who completed the choice task in the current set of experiments, we can conclude that few, if any, settled on an optimal strategy even after being guided to make optimal choices in a similar, or the same, context. After training participants to make optimal choices, the majority immediately returned to making variable and idiosyncratic decisions. Given how strong and persistent this behaviour is, the benefits of this variability may, in many circumstances, outweigh the costs of finding and applying consistent rules.

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**Appendix: Optimal Choices in Non-Naive Participants**

Non-naive participants completed replications of both the throwing and detection versions of the experiments reported in Clarke and Hunt (2016). In the throwing task, participants made a choice about where to stand to throw a beanbag into one of two possible hoops. They are only told which hoop is their target after they have made their choice. The detection task is similar in structure, except participants make a choice about where to look to detect a probe that can appear in one of two boxes. In both tasks the distance between the possible target locations (hoops/boxes) is varied, and the logic is the same: stand/look in a central location, equidistant between the two potential target locations when they are close together, and stand/look close to one potential target location when they are too far apart to throw to/see reliably from a central position. The results demonstrate the optimal strategy can be easily and effectively implemented when it is explicitly known, as a basis for comparison with Experiments 1 and 2.

**Methods**

**Throwing Experiment**

*Participants*. Four participants completed the throwing task. Three are authors on this paper (AH, WJ, JR) and the final participant was a member of the lab, familiar with the paradigm. All participants were familiar with the optimal strategy but had not completed the experiment previously.

*Materials and Procedure*. The procedure was similar to that used in Clarke and Hunt (2016, Experiment 2), and the throwing task in Experiment 1, with the following modifications. A first phase was conducted, in which flat hoops with a diameter of 0.40m were placed at a range of distances away (1.38m, 3.22m, 4.14m, 5.06m, 6.9m & 9.2m). Participants threw 12 bean bags into a hoop at each distance in each of two directions and throwing performance (out of a maximum of 24) was used to determine the point at which each participant’s accuracy dropped below 50%.

In the second phase, participants were again asked to throw bean-bags into hoops, but this time there were two potential targets for each throw and participants needed to choose where to stand before finding out which of the hoops was the actual target. In the original experiment (Clarke and Hunt, 2016), the distance between the hoops in the second phase was based around the participants’ performance in the first phase. In the current version, six distances were randomly selected from a range of distances based on the performance of participants in previous experiments (0.46m to 11.5m). The random selection of distances within this range ensured that participants would be unable to rely on their prior knowledge of the structure of previous experiments in deciding whether they should stand in the middle or next to one hoop. Instead they had to base their decision on knowledge about their own ability. To begin the session, three pairs of hoops matched in color were placed at each of three separations (red was the closest separation, yellow the middle, blue the farthest). After 45 choice trials, the hoops were shifted to three new separations for a further 45 trials. The colour of the bean-bag that the participant drew at random from a bag before each throw determined which pair of hoops were potential targets on that trial (e.g., if a red beanbag was drawn, one of the two red hoops would be the target on that trial). Participants then took the beanbag and chose a place to stand. After they chose their standing position, they were told which hoop was the target, and they then attempted to get the beanbag into that target hoop. The bean bags would only be placed back into the bag once all nine had been thrown, to ensure that participants made an equal number of decisions for each distance. There were 15 trials for each distance (90 in total). Which of the two hoops would be designated as the target on each trial was determined by a pre-generated random sequence. On each trial, the experimenter recorded the color of the beanbag, the standing position (based on numbers chalked on the wall over each row of paving slabs), and throwing accuracy (0 or 1).

**Detection Experiment**

*Participants*. Five participants (4 female, all right-handed) completed the detection task. Four are authors on this paper (AH, WJ, EM, JR) and the fifth participant was a lab member, familiar with the paradigm. All participants were aware of the optimal strategy, had normal or corrected to normal vision, and like in the throwing task (Experiment 1a), were unaware of their personal switch-point. All participants provided informed consent.

*Materials and Procedure.* The participants completed a procedure that matched Session 1 of the control group as described in Experiment 2 above. In other words, they completed the Acuity Mapping Phase (384 trials) followed by the Decision Phase (360 trials). The distances between boxes, like in the throwing experiment, were randomly selected from the range of distances used in previous experiments to ensure the non-naiive participants would have to rely on their own visual acuity to decide where to fixate, rather than their knowledge of how the experiment had been set up for naive participants.

**Results**

***Choices.*** We first visually compared each participant’s choice behaviour to an individualized estimate of their optimal strategy (that is, choices that would have achieved optimal performance). The optimal choices for each participant in each experiment are determined based on performance in the first phase of the experiments (see supplementary information for performance curves). For the throwing experiment, the participant should choose to stand in the center for distances where accuracy from a central position is expected to be greater than 50%, and should stand near one hoop or the other for distances where accuracy from center is less than 50%. This is shown in Figure A1, with a dark blue line showing optimal performance and black circles showing actual standing position. It is clear from this figure that these expert participants made standing position choices that were close to optimal.

Similarly, for the detection experiment, the participant should choose to look at the center box when the separation between boxes is small enough that expected accuracy from the center is >75%. For expected accuracy from the center of less than 75%, participants should instead choose the left or right box, because if the target appears here they will be 100% correct and if it appears in the other box they will be 50% correct, giving an expected overall accuracy of 75%. This is shown as the blue line in Figure A1. Again, it is clear that expert participants’ choices of where to fixate in this task (the black dots) were close to optimal.





Figure A1. Results for each expert participant in the throwing experiment (1a) on the top row. Each dot is light grey and represents standing position on a single trial; the darker dots represent up to 15 overlaid trials. The dark blue line shows the optimal standing position for each participant. The standing position has been normalized to the distance from the center to the hoop. This means deviations from the line for small values of delta represent relatively small changes in standing position. The second row shows the results for each expert participant in the detection experiment (1b). Each dot represents the proportion of fixations made towards one of the side boxes at each value of delta. The dark blue line represents the optimal fixation strategy for each participant.

***Performance.*** For each participant in each experiment, we calculated the accuracy they would have achieved under an optimal strategy (i.e., had their choices perfectly followed the blue lines in Figure A1). The size of the difference between actual proportion correct and each participant’s optimal performance ranges from underperforming by 0.089 to out-performing by 0.081. Overall, the mean difference from optimal was -0.017 for the throwing task, and 0.036 for the detection task, suggesting participants overall were close to optimal in their performance.



Figure A2. Comparison of actual proportion correct to optimal (an estimate of the proportion correct the participant would have achieved given optimal choices) for the throwing and detection experiments. Each line represents an expert participant. Performance is close to optimal.

Although participants’ choices were broadly consistent with a near-optimal strategy, it is clear from the data that not all participants were accurate in switching at precisely the right point. These small inconsistencies had a trivial effect on accuracy, however, and all participants achieved a level of accuracy in the task that nearly equaled the accuracy expected under an optimal strategy. The results demonstrate that implementing the optimal strategy when it is known is straightforward.

1. Although the 1930’s is typically thought of as having been dominated by behaviourism, there existed a minority of researchers who were investigating and developing theories of animal problem-solving. Dewsbury (2000) suggests this research has been forgotten because it was marginalized by the behaviorists at the time, and subsequently swept aside by the cognitive revolution in the 1950’s, which tended to somewhat indiscriminately characterize all the research of this era as behaviourist. [↑](#footnote-ref-1)